

COPY OF PAPERS
ORIGINALLY FILED

287
7.172



Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/975,985
Filing Date	October 15, 2001
First Named Inventor	ROBERTS, Kim
Group Art Unit	2872
Examiner Name	
Attorney Docket Number	9-13528-152US

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

Acknowledgement of receipt postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kent Daniels (Reg. No. 44,206)
Signature	
Date	June 24, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

File No.: 9-13528-152US

June 24, 2002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kim B. Roberts, et al.
Serial No.: 09/975,985
Filed: October 15, 2001
Title: MEASUREMENT OF POLARIZATION DEPENDENT
LOSS IN A OPTICAL TRANSMISSION SYSTEM
Agent of Record: Kent Daniels **Tel:** (613) 230-6072

Assistant Commissioner for Patents
Washington, D.C. 20231
U.S.A.

Sir:

INFORMATION DISCLOSURE STATEMENT
PRIOR TO FIRST OFFICE ACTION

Pursuant to the duty of disclosure under 37 CFR 1.56, copies of the references listed on the attached PTO Form 1449 are submitted herewith.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO-1449 Form to the below-signed agent.

In accordance with 37 CFR 1.97(h), the submission of the present information is not to be construed as an admission that such information is, or is considered to be material to patentability.

Respectfully submitted,

By: K. Daniels
Kent Daniels
Agent of Record, Registration No. 44,206
SWABEY OGILVY RENAULT
1600 - 1981 McGill College Avenue
Montreal, Quebec, Canada H3A 2Y3

Encls.

RECEIVED
JUL - 9 2002
TECHNOLOGY CENTER-28800



COPY OF PAPERS
ORIGINALLY FILED

Sheet 1 of 1

Form PTO-1449 (Rev.7-80) LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)	U.S. Department of Commerce Patent & Trademark Office	ATTY. DOCKET NO. 9-13528-152US	SERIAL NO. 09/975,985
	APPLICANT Kim B. Roberts, et al.		
	FILING DATE: October 15, 2001	GROUP 2872	

U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
	AA	4,823,360	Apr.18/89	Tremblay et al.	375	4	Feb.12/88
	AB	5,416,626	May 16/95	Taylor	359	156	Jan.31/94
	AG	5,513,029	Apr.30/96	Roberts	359	177	Jun.16/94
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		Document number	Date	Country	Class	Subclass	Translation
	AL	GB 2 328 572 A	Feb. 24/99	United Kingdom			
	AM						
	AN						
	AO						
	AP						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

Examiner

Date considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.